

OFFICIAL

<b>AMENDMENT TRANSMITTAL LETTER (Small Entity)</b>		Docket No. <b>BEC-0041</b>	
Applicant(s): <b>SETH P. BECKER ET AL.</b>			
Serial No. <b>10/020,728</b>	Filing Date <b>October 29, 2001</b>	Examiner <b>Kalinowski</b>	Group Art Unit <b>3626</b>
Invention: <b>METHOD AND SYSTEM FOR PROVIDING REGISTRATION AND LOSS PROTECTION SERVICES TO CONSUMER JEWELRY OWNERS</b>			

RECEIVED  
CENTRAL FAX CENTER

JAN 20 2004

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.

A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
<b>TOTAL CLAIMS</b>	<b>24</b>	<b>27</b>	<b>0</b>	<b>x \$9.00</b>	<b>\$0.00</b>
<b>INDEP. CLAIMS</b>	<b>3</b>	<b>4</b>	<b>0</b>	<b>x \$42.00</b>	<b>\$0.00</b>
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					<b>\$0.00</b>
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>

No additional fee is required for amendment.

Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_.

A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.

The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **06-1130**

Any additional filing fees required under 37 C.F.R. 1.16.

Any patent application processing fees under 37 CFR 1.17.

Dated: **January 19, 2004***Signature***David A. Fox**

Registration No. 38,807  
**CANTOR COLBURN LLP**  
 55 Griffin Road South  
 Bloomfield, CT 06002  
 Telephone (860) 286-2929  
 Facsimile (860) 286-0115  
 Customer No. 23413

cc:

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Signature of Person Mailing Correspondence*

Typed or Printed Name of Person Mailing Correspondence

JAN-19-2004 MON 11:25 AM GANTOR COLBURN LLP

FAX NO. 8602860115

#11/C  
7.02.13  
RECEIVED  
CENTRAL FAX CENTER

JAN 20 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OFFICIAL

Applicant: SETH P. BECKER ET AL )  
Serial No. 10/020,728 ) Group Art Unit: 3626  
Filed: October 29, 2001 ) Examiner: Kalinowski  
For: METHOD AND SYSTEM FOR PROVIDING )  
REGISTRATION AND LOSS PROTECTION )  
SERVICES TO CONSUMER JEWELRY )  
OWNERS )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence is  
being transmitted to the United States Patent  
& Trademark Office via facsimile to facsimile  
Number 703-872-9306 on January 19, 2004  
Sheila Smedick

*Sheila Smedick* 1-19-04  
signature date

RESPONSE TO OFFICE ACTION

In response to the Office Action mailed October 20, 2003, Applicants request  
reconsideration in view of the following amendments and remarks.

Becker's  
BFC-0041

## AMENDMENTS TO THE SPECIFICATION

Amend the Abstract as shown below.

The present invention relates to a method for providing registration services and loss protection services related to marked jewelry items for new jewelry consumer purchases or existing consumer owned items. The jewelry item may be marked with a unique identifier. Upon transferring ownership of a uniquely inscribed, marked, encoded, or otherwise identifiable gemstone or jewelry item, the facilitator of the registration and loss protection services (e.g., a dealer or retailer) includes (without limiting it to) both a database registration service and/or a loss protection service prior to transferring the jewelry item to the consumer. This may occur before, or shortly after a transfer of ownership transaction takes place. Ultimately, this leads to the end consumer receiving at point of sale registration services and/or loss protection services included in with their diamond, gemstone and/or jewelry purchase, which creates many added value benefits and peace of mind such as providing replacement jewelry item. Detailed information pertaining to the jewelry owner, the identification information and characteristics and value of the jewelry item, along with other relevant information may be registered into a database for tracking, identification, protection and recovery purposes. Data can be entered prior to sale to a consumer for greater tracking throughout a distribution chain. The method also comprises updating the database upon the occurrence of an event relating to the marked jewelry item, including a transfer of ownership, loss, destruction, theft, damage, recovery, settlements, as are current and updated evaluations.

C1